

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING APPLICATION

## Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT  
APPLICATION

jc803 U.S. PTO



01/09/01

## RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

PM 275507

M#

PHM70635/US

Client Ref

Date:

January 9, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

FORMULATION

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).4. 22 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language6. 23 Numbered claim(s); and7. ☒ Drawings: 1 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"**DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Great Britain

Application No.	Filing Date	Application No.	Filing Date
(1) 0000313.7	January 10, 2000	(2) 0008837.7	April 12, 2000
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☐ National Appln. No. \_\_\_\_\_ / filed \_\_\_\_\_ - - (M# \_\_\_\_\_)14(b) ☐ International Appln. No. PCT/ \_\_\_\_\_ filed \_\_\_\_\_ which  
designated the U.S., and that International Application ☐ was ☐ was not published  
under PCT Article 21(2) in English.--15. ☐ **Amend the specification** by inserting before the first line: --This application  
claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_ --16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pr-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not  
essential to make claim)17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	John	R.	EVANS
	First	Middle Initial	Family Name
Residence	Macclesfield, Cheshire	UNITED KINGDOM	GREAT BRITAIN
	City	State/Foreign Country	Country of Citizenship
Mailing Address	Alderley Park, Macclesfield, Cheshire, United Kingdom		
(include Zip Code)	SK10 4TG		

(2) Inventor	Rosalind	U	GRUNDY
	First	Middle Initial	Family Name
Residence	Macclesfield, Cheshire	UNITED KINGDOM	GREAT BRITAIN
	City	State/Foreign Country	Country of Citizenship
Mailing Address	Charter Way, Macclesfield, Cheshire, United Kingdom		
(include Zip Code)	SK10 2NA		

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐  
and attach sheet with same information regarding additional inventors.

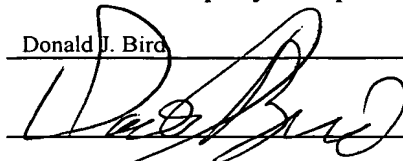
**Pillsbury Winthrop LLP  
Intellectual Property Group**

1100 New York Avenue, NW.  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: DJB/mhn

By: Atty: Donald J. Bird

Reg. No. 25323

Sig:



Fax: (202) 822-0944  
Tel: (202) 861-3027

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments